

10/12/01



10-15-01

A

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)

Attorney Docket No.:		AESN3000
First Inventor:		Pham, Duc.
Title:	SCALABLE NETWORK GATEWAY PROCESSOR ARCHITECTURE	
Express Mail Label:		EV 003 785 679 US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
2. ☒ Applicant claims Small Entity Status
3. ☒ Specification [Total Pages]
4. ☒ Drawings [Total Sheets]
5. ☒ Oath or Declaration [Total Pages]
 - a. ☒ Newly Executed
 - b. Copy from a prior application
 - i. Deletion of Inventor(s)
6. Application Data Sheet
7. Microfiche Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers
10. 37 C.F.R. § 3.73(b) Statement
- Power of Attorney
11. English Translation Document
12. IDS and IDS Citation Copies
13. Preliminary Amendment
14. ☒ Return Receipt Postcard
15. Certified Copy of Priority Document(s)
16. Nonpublication Request under 35 USC 122 (b)(2)(B)(i). Must attach form PTO/SB/35
17. Other:

18. If a CONTINUING/PROVISIONAL APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-Part (CIP) Provisional

Prior Application No: Filed: Examiner: Group/ Art Unit:

Title:

- 18a. The accompanying Applications Papers are a true copy of the prior complete application identified above.
- 18b. Applicant(s) claim priority under 35 U.S.C. § 1.120 from the prior complete application identified above.
- 18c. Applicant(s) claim priority under 35 U.S.C. § 1.119(e) from the provisional application identified above.

19. Correspondence Address:

 ☒ Customer Number **23488**

Gerald B. Rosenberg, Esq.
NewTechLaw
285 Hamilton Avenue, Suite 520
Palo Alto, California 94301

Telephone: 650.325.2100
Facsimile: 650.325.2107
Email: gbr@newtechlaw.com

23488
PATENT TRADEMARK OFFICE

Name Gerald B. Rosenberg Registration No: 30,320

Signature

Date: October 12, 2001

10/12/01
09/976322
U.S. PTO



FEE TRANSMITTAL for FY 2001

Application Number: N/A

Filing Date: October 12, 2001

First Inventor: Pham, Duc.

Examiner Name: Unknown

Art Unit: Unknown

Total Amount of Payment \$ 559.00

Attorney Docket No.: AESN3000

METHOD OF PAYMENT

- ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
Deposit Account Number: 50-0890
Deposit Account Name: Gerald B. Rosenberg
- ☒ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17
- ☒ Applicant claims small entity status. See, 37 C.F.R. 1.27
- ☒ Payment Enclosed:
☒ Check ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Ent.	Small Ent.	Fee Description	FEE PAID
740.00	370.00	Utility filing fee	370.00
320.00	160.00	Design filing fee	
490.00	245.00	Plant filing fee	
710.00	355.00	Reissue filing fee	
150.00	75.00	Provisional filing fee	
SUBTOTAL (1)			370.00



2. EXTRA CLAIM FEE

		Extra Claims	Fee Paid
Total Claims	<u>27</u> - 20* = <u>7</u>	x 18.00 =	126.00
Independent Claims	<u>6</u> - 3* = <u>3</u>	x 84.00 =	252.00
First Multiple Dependent		+ 270.00 =	0.00
*or number previously paid, if greater			
Small Entity?	YES	x 0.5	
SUBTOTAL (2)			189.00

3. ADDITIONAL FEES

130.00	Surcharge - late filing fee or oath
50.00	Surcharge - late provisional filing fee or cover sheet
130.00	Non-English specification
2,520.00	For filing a request for reexamination
110.00	Extension for reply within first month
390.00	Extension for reply within second month
890.00	Extension for reply within third month
1390.00	Extension for reply within fourth month
1890.00	Extension for reply within fifth month
310.00	Notice of Appeal
310.00	Filing a brief in support of an appeal
270.00	Request for oral hearing
1,510.00	Petition to institute a public use proceeding
110.00	Petition to revive - unavoidable
1,240.00	Petition to revive - unintentional
1,240.00	Utility issue fee (or reissue)
130.00	Petitions to the Commissioner
50.00	Processing fee under 37 C.F.R. 1.17(g)
180.00	Submission of Information Disclosure Statement
40.00	Recording each patent assignment per property
710.00	Filing a submission after final rejection
710.00	For each additional invention to be examined
Other	
Small Entity?	YES / NO
SUBTOTAL (3)	
	0.00

CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number 23488		 23488 PATENT TRADEMARK OFFICE
Gerald B. Rosenberg, Esq. NewTechLaw 285 Hamilton Avenue, Suite 520 Palo Alto, California 94301		
Telephone: 650.325.2100 Facsimile: 650.325.2107 Email: gbr@newtechlaw.com		
Name: Gerald B. Rosenberg	Registration No: 30,320	Telephone: 650.325.2100
Signature: 		Date: October 12, 2001